

PCT

国際調査報告

(法8条、法施行規則第40、41条) [PCT18条、PCT規則43、44]

の書類記号 MOA-104PCT	及び下記5を参照すること。				
国際出願番号 PCT/JP00/06512	国際出願日 (日.月.年) 22	. 09. 00	優先日 (日.月.年) 24.09.99		
出願人(氏名又は名称)	農林水産省農業生	物資源研究所長が代	表する日本国		
国際調査機関が作成したこの国際調 この写しは国際事務局にも送付され		341条(PCT183	条)の規定に従い出願人に送付する。		
この国際調査報告は、全部で3	ページである。				
□ この調査報告に引用された先行	技術文献の写しも添作	†されている。 			
1. 国際調査報告の基礎 a. 言語は、下記に示す場合を除 この国際調査機関に提出。					
b. この国際出願は、ヌクレオチ この国際出願に含まれる		:含んでおり、次の酢	己列表に基づき国際調査を行った。		
この国際出願と共に提出さ	されたフレキシブルデ	ィスクによる配列表			
□ 出願後に、この国際調査は	機関に提出された書面に	こよる配列表			
出願後に、この国際調査権	•	· •			
<u>[</u>] 出願後に提出した書面に。 書の提出があった。	「る配列表が出願時に」	おける国際出願の開	示の範囲を超える事項を含まない旨の陳述		
書面による配列表に記載し 書の提出があった。	ンた配列とフレキシブ/	レディスクによる配	列表に記録した配列が同一である旨の陳述		
2. 請求の範囲の一部の調査	ができない(第I欄参	:照)。			
3. 発明の単一性が欠如して	いる(第Ⅱ欄参照)。				
4. 発明の名称は 🗵 出	願人が提出したものを	承認する。			
□ 次	に示すように国際調査	機関が作成した。			
5. 要約は 🗵 出	願人が提出したものを	承認する。	1		
国		。出願人は、この国	347条 (PCT規則38.2(b)) の規定により 国際調査報告の発送の日から1カ月以内にこ なる。		
6. 要約書とともに公表される図は 第図とする。	•	ある。	⊠ なし		
	願人は図を示さなかっ	た。			

■ 本図は発明の特徴を一層よく表している。

	国際調査報告	国際出願番号 PCT/JP	00/06512
A. 発明0	の属する分野の分類(国際特許分類(IPC))		
	Int. C1. 7 GO1N27/447		
B. 調査を 調査を行った	と行った分野 上最小限資料(国際特許分類 (IPC))		
	Int. Cl. 7 G01N27/447		
日本国実月 日本国公司 日本国公司 日本国登録	は外の資料で調査を行った分野に含まれるもの 用新案公報 1922-1996年 開実用新案公報 1971-2000年 最実用新案公報 1994-2000年 用新案登録公報 1996-2000年		
1013 - 747	用した電子データベース(データベースの名称 * ラリー*座標*クローン IBRA?*COORDINATE?*CLON?*SCREENING?	が、調査に使用した用語) ・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・	
C. 関連す 引用文献の	ると認められる文献		
カテゴリー*	一 1/11/11/11 人 10 10/10/10 月座りる		関連する 請求の範囲の番号
Y	島本功、佐々木卓治監修「細胞工学 新版植物のPCR実験プロトコー 遺伝子発現の最新解析法ー」株式会 1版第1刷発行、第182-189頁及び 第184頁第22-30行「器具・装置」の ドバンス; Mupid-2)」 第186頁図 2	ル -核酸の単利法とゲノム・ 社秀潤社、1997年7月1日、第 製付	1-14, 17
Y	JP, 09-043196, A (農林水産省生物資 (14. 02. 97)第1図、(ファミリー	資源研究所長) 14.2月.1997 無し)	1-14, 17
× C欄の続き	さにも文献が列挙されている。	□ パテントファミリーに関する5	川紙を参照。
「A」特に関連している。「E」以後の際後先者献頭に出いている。「L」国際では、「O」国では、「O」国では、「O」国では、「O」国では、「P」	のカテゴリー 他のある文献ではなく、一般的技術水準を示す 目前の出願または特許であるが、国際出願日 表されたもの 一般に疑義を提起する文献又は他の文献の発行は他の特別な理由を確立するために引用する 理由を付す) こる開示、使用、展示等に言及する文献 質日前で、かつ優先権の主張の基礎となる出願	の日の後に公表された文献 「T」国際出願日又は優先日後に公表 出願と矛盾するものではなく、 の理解のために引用するもの 「X」特に関連のある文献であって、 の新規性又は進歩性がないと考 「Y」特に関連のある文献であって、 上の文献との、当業者にとって よって進歩性がないと考えれ 「&」同一パテントファミリー文献	発明の原理又は理論 当該文献のみで発明 えられるもの 当該文献と他の1以 自明である組合せに
国際調査を完了 	11. 10. 00	国際調査報告の発送日 24.	10. 00
日本国	名称及びあて先 特許庁 (ISA/JP) - 個番号 100 - 2015	特許庁審査官(権限のある職員) 郡山 順	2 J 8 5 0 2

電話番号 03-3581-1101 内線 3252

東京都千代田区霞が関三丁目4番3号 様式PCT/ISA/210 (第2ページ) (1998年7月)

日本国特許庁 (ISA/JP) 郵便番号100-8915

C (続き) .	間油ナスレ製みとわって土地	
引用文献の	関連すると認められる文献	関連する
カテゴリー*	引用文献名 及び一部の箇所が関連するときは、その関連する箇所の表示	請求の範囲の番号
Y	JP,08-166370,A(弘田憲史) 25.6月.1996(25.06.96) 【0010】、第 2 図 (ファミリー無し)	1-14, 17
Y	US, 5051162, A (HITACHI LTD) 24.9月.1991(24.09.91) FIG. 1	1-14, 17
	JP, 08-251988, A & DE, 4011779, A	
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	·	
		·
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·		· ·



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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 30 May 2001 (30.05.01)	ETATS-UNIS D'AMERIQUE in its capacity as elected Office
International application No. PCT/JP00/06512	Applicant's or agent's file reference MOA-104PCT
International filing date (day/month/year) 22 September 2000 (22.09.00)	Priority date (day/month/year) 24 September 1999 (24.09.99)
Applicant	
KAWASAKI, Shinji et al	

	·
1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	23 February 2001 (23.02.01)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Henrik Nyberg

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35



特許協力条約

PCT

国際予備審査報告

REC'D	13	JUL	2001	
WIRO		****	PART OF THE PART O	-

(法第12条、法施行規則第56条) [PCT36条及びPCT規則70]

出願人又は代理人 の書類記号 MOA-104PCT	今後の手続きについては、国際予備審査報告の送付通知(様式PCT/ IPEA/416)を参照すること。				
国際出願番号 PCT/JP00/06512	国際出願日 (日.月.年) 22.	09.00	優先日 (日.月.年)	24.09.99	
国際特許分類 (IPC) Int.	C 1 7 G01N27/4	147			
出願人(氏名又は名称) 独立行政法人農業生物資	源研究所				
1. 国際予備審査機関が作成したこの国				規定に従い送付する	5.
2. この国際予備審査報告は、この表紙 □ この国際予備審査報告には、所 査機関に対してした訂正を含む (PCT規則70.16及びPCT この附属書類は、全部で	 	されて、この報告の 及び/又は図面も新 ^注 照)	基礎とされた及	び/又はこの国際刊	予備審
3. この国際予備審査報告は、次の内容	を含む。				
Ⅰ 区 国際予備審査報告の基礎					
Ⅱ 優先権					
Ⅲ 別規性、進歩性又は産業	上の利用可能性につい	いての国際予備審査	報告の不作成		
IV					
V × PCT35条(2)に規定す の文献及び説明 VI ある種の引用文献	- る新規性、進歩性又	は産業上の利用可能	6性についての見	L解、それを裏付ける	るため
VII 国際出願の不備					
VII 国際出願に対する意見					
国際予備審査の請求書を受理した日 23.02.01	·	国際予備審査報告を	☆作成した日 . 07.01		
名称及びあて先 日本国特許庁 (IPEA/JP) 郵便番号100-8915	1	特許庁審査官(権限	艮のある職員)	2 J 8	502

様式PCT/IPEA/409 (表紙) (1998年7月)

東京都千代田区霞が関三丁目4番3号



電話番号 03-3581-1101 内線 3252

I.		国際予備審査報	ときの基礎				
1.	ŗ		と提出された			れた。(法第6条(PC) おいて「出願時」とし、2	T 1 4条)の規定に基づく命令に 本報告書には添付しない。
	×	出願時の国際	於出願書類				
		明細書 明細書 明細書	第 第 第		_ ページ、 _ ページ、 _ ページ、 _ ページ、	出願時に提出されたもの 国際予備審査の請求書	
		請求の範囲 請求の範囲 請求の範囲 請求の範囲	第 第 第		項、 項、 項、 項、	出願時に提出されたもの PCT19条の規定に 国際予備審査の請求書の	基づき補正されたもの
		図面 図面	第 第 第		— ページ/図、 ページ/図、 ページ/図、		
		明細書の配列 明細書の配列 明細書の配列	表の部分	第	ページ、 ページ、 ページ、 	出願時に提出されたもの 国際予備審査の請求書。	
2.		上記の 書類 は、	下記の言語		語であ		
	[PCT規	則48.3(b)に	いう国際公開の	言語	こは55.3にいう翻訳文の言	語
3.	3	この国際出願に	は、ヌクレオ	チド又はアミノ	竣配列を含んで	おり、次の配列表に基づ	き国際予備審査報告を行った。
) () () ()	この願願の国際に出出の願願を表している。という。この問題を表している。これでは、これでは、これでは、これでは、これでは、これでは、これでは、これでは、	出願と共に 、この国際 、この国際 提出した書 があった	予備審査(または 面による配列表が	・シブルディスク 調査)機関に 調査)機関に は は は は は は は は は は は は は は は は は は は	是出された書面による配列 是出されたフレキシブルデ 5 国際出願の開示の範囲を	• •
4.		離正により、↑ 明細書 請求の範囲 図面		-	ページ 項 ペー	ジ/図	
5.		れるので、そ	その補正がさ		として作成した	。(PCT規則70.2(c)	範囲を越えてされたものと認めら この補正を含む差し替え用紙は上

国際予備審查報告

V.	新規性、	進歩性又は産業上の利用可能性についての法第12条	(PCT35条(2))	に定める見解、	それを裏付ける
	文献及び	説明			

1. 見解

新規性(N)	請求の範囲 請求の範囲	1-17	
進歩性(IS)	請求の範囲 請求の範囲	15, 16 1-14, 17	
産業上の利用可能性 (IA)	請求の範囲 請求の範囲	1-17	

2. 文献及び説明 (PCT規則70.7)

文献1:島本功、佐々木卓治監修「細胞工学別冊 植物細胞工学シリーズ7 新版植物のPCR実験プロトコール - 核酸の単利法とゲノム・遺伝子発現の最新解析法 - 」株式会社秀潤社、1997年7月1日、第1版第1刷発行、第182-189頁及び奥付第184頁第22-30行「器具・装置」の欄「ミニゲル電気泳動装置(アドバンス;Mupid-2)」第186頁図 2 (シークエンサーApplied Biosystems 373Aを使用したゲル板を撮影したもの)

文献 2 : JP 09-043196 A (農林水産省生物資源研究所長) 14.2月.1997(14.02.97)第 1 図、(ファミリー無し)

文献3: JP 08-166370 A(弘田憲史) 25.6月.1996(25.06.96) 【0010】、第2図(ファミリー無し)

文献 4: US 5051162 A (HITACHI LTD) 24.9月.1991(24.09.91) FIG.1 JP 08-251988 A & DE 4011779 A

第4欄第51-61行には、DNAを300mm×200mmのゲルを用いて30試料を分析できる旨が記載されている。

文献 5: Electrophoresis, 14(7), p. 566-569 (1993) DNAバンドを銀染色すること、ポリアクリルアミドゲル電気泳動において不連続緩衝液を用いて遺伝子の多型性を検出する旨が記載されている。

文献 6: Electrophoresis, 16(3) p. 345-349 (1995) 不連続緩衝液系において、DNAバンド幅が減少し、分解能が増大する旨が記載されている。

文献7: Applied and Environmental Microbiology, 62(8) p. 2947-2951 (1996) 第2949頁右欄第28-43行及び第2図には、変性剤であるformamdeを含有するゲルを使用することで、バンドの分解能が高まる旨が記載されている。

文献8:Electorophoreis,20(6) p.1177-1185 (1999年6月) 多型性の分析に使用されるHeterodupulex法が記載されている(要約の項)

補充欄 (いずれかの欄の大きさが足りない場合に使用すること)

第 V 欄の続き

(1)請求の範囲1

請求の範囲1に係る発明と引用文献4に係る発明を対比すると、請求の範囲1に係る発明がゲル板一枚当たり32以上の試料を同時に電気泳動することが出来るのに対して、文献4記載の発明は30の試料を同時に電気泳動することが出来る点で構成が相違する。

しかしながら、試料をいくつ流すかはレーンの幅や間隔を適宜変更する等して、当

業者が適宜決めることが出来る設計的事項と認められる。

したがって、請求の範囲1に係る発明は文献4から容易に発明し得たものであり、 進歩性がない。

(2)請求の範囲2

上記(1)に記した理由に加えて、不連続緩衝液型ゲルを使用する核酸の電気泳動法は立動を

は文献5,6に記載されているように周知である。

したがって、請求の範囲2に係る発明は文献4及び周知の事項から容易に発明し得たものであり、進歩性がない。

(3)請求の範囲3

上記(1)に記した理由に加えて、核酸試料を変性処理で一本鎖にして解析することは、例示するまでもなく出願前慣用されている解析方法である。

したがって、請求の範囲3に係る発明は文献4から容易に発明し得たものであり、 進歩性がない。

(4)請求の範囲4

上記(1)に記した理由に加えて、核酸バンドを銀染色又は蛍光染色することは、例示するまでもなく慣用されている染色手段に過ぎない。

したがって、請求の範囲4に係る発明は文献4から容易に発明し得たものであり、

進歩性がない。

(5)請求の範囲5

上記(1)に記した理由に加えて、ゲノムの多型性に電気泳動を用いることは慣用手段である。例えば、文献1、文献5等で多型性分析が行われている。

したがって、請求の範囲5に係る発明は文献4から容易に発明し得たものであり、進歩性がない。

(6)請求の範囲6

上記(3)に記した理由に加えて、文献7には、多型性分析において、変性ゲルを用いることが記載されている。

したがって、請求の範囲6に係る発明は文献4及び文献7から容易に発明し得たものであり、進歩性がない。

(7)請求の範囲 7

上記(5)に記した理由に加えて、AFLP法を使用した多型性分析は、例えば文献1に記載さているように本出願前周知である。

したがって、請求の範囲7に係る発明は文献4から容易に発明し得たものであり、 進歩性がない。

(8)請求の範囲8

上記(5)に記した理由に加えて、ヘテロ二本鎖DNAを使用した多型性分析は、例えば 文献8に記載さているように本出願前周知である。

したがって、請求の範囲8に係る発明は文献4から容易に発明し得たものであり、 進歩性がない。

補充欄(いずれかの欄の大きさが足りない場合に使用すること)

第 V 欄の続き

(9)請求の範囲9

上記(5)に記した理由に加えて、多型性分析において多型を示すDNA断片をゲルから 単離して更に分析を行うことは、この分野で例示するまでもなく慣用されている。 したがって、請求の範囲9に係る発明は文献4から容易に発明し得たものであり、 進歩性がない。

(10)請求の範囲10

上記(9)に記した理由と同様の理由で進歩性が認められない。

したがって、請求の範囲10に係る発明は文献4から容易に発明し得たものであ

り、進歩性がない。

なお、請求の範囲10は、多型を示すDNAを特定するのに「請求項9に記載の方法で単離された」という表現を用いている。しかしながら、多型を示すDNAが請求項9の方法で取得されたからといって、他の方法で取得された多型を示すDNAと比較して特別な性質、構造、特性等を有するものではない。

(11)請求の範囲11

上記(5)に記した理由に加えて、核酸を電気泳動することで遺伝分析を行うことは、例示するまでもなく慣用もいでする。

は、例示するまでもなく慣用手段である。

したがって、請求の範囲11に係る発明は文献4から容易に発明し得たものであり、進歩性がない。

(12)請求の範囲12

上記(11)に記した理由に加えて、F2、RI、QTLは、遺伝分析の対象としてとして慣用されている。

したがって、請求の範囲12に係る発明は文献4から容易に発明し得たものであり、進歩性がない。

(13)請求の範囲13、14

上記(5)に記した理由に加えて、遺伝子の多型は、マーカーとして有効なことは例示するまでもなく周知であり、様々な遺伝子地図が作製されている。

したがって、請求の範囲 13, 14に係る発明は文献 4から容易に発明し得たものであり、進歩性がない。

(14)請求の範囲15、16

サブライブラリーのプレートに番号を振り付け三次元座標とし、クローンをサブライブラリーから選択することは、上記いずれの文献にも開示されていない。よって、請求の範囲15に係る発明および請求の範囲15を引用する従属発明は、進歩性を有する。

(15)請求の範囲17

上記(1)に記した理由と同様の理由で進歩性がない。

Translation



PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference MOA-104PCT FOR FURTHER ACTION SeeNotificationofTransmittalofInternational Prelimit Examination Report (Form PCT/IPEA/416)					
International application No.	International filing date (day/n	nonth/year)	Priority date (day/month/year)		
PCT/JP00/06512	22 September 2000 (2)	2.09.00)	24 September 1999 (24.09.99)		
International Patent Classification (IPC) or n G01N 27/447	national classification and IPC				
Applicant NATIONAL	INSTITUTE OF AGROBI	OLOGICA	L SCIENCES		
 This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36. This REPORT consists of a total of8 sheets, including this cover sheet. 					
This report is also accompanions been amended and are the ba	nied by ANNEXES, i.e., sheets	of the descri	ption, claims and/or drawings which have tifications made before this Authority (see		
These annexes consist of a to	otal of sheets.				
3. This report contains indications rela	ating to the following items:				
I Basis of the report					
II Priority					
III Non-establishment	of opinion with regard to novelty	y, inventive ste	p and industrial applicability		
IV Lack of unity of inv	vention				
Reasoned statement		to novelty, inv	ventive step or industrial applicability;		
VI Certain documents	cited				
VII Certain defects in the	ne international application				
'" <u> </u>	s on the international application	n			
Date of submission of the demand	Date o	f completion o	f this report		
23 February 2001 (23.	02.01)	03	July 2001 (03.07.2001)		
Name and mailing address of the IPEA/JP	Author	rized officer			
Facsimile No.	Teleph	ione No.			

Form PCT/IPEA/409 (cover sheet) (July 1998)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT/JP00/06512

I.	I. Basis of the report			
1.	With	regard t	to the elements of the international application:*	
	\boxtimes	the int	ternational application as originally filed	
		the des	scription:	
	_	pages	, as originally filed	
		pages	, filed with the demand	
		pages	, filed with the letter of	
		the cla	uims:	
		pages	, as originally filed	
		pages	, as amended (together with any statement under Article 19	
		pages	, filed with the demand	
		pages	, filed with the letter of	
		the dra	awings:	
		pages	, as originally filed	
		pages	, filed with the demand	
		pages	, filed with the letter of	
	t	the seque	ence listing part of the description:	
		pages	, as originally filed	
		pages	, filed with the demand	
		pages	, filed with the letter of	
2.	the in	nternationse element the land the land	to the language, all the elements marked above were available or furnished to this Authority in the language in which anal application was filed, unless otherwise indicated under this item. In this were available or furnished to this Authority in the following language which is: In the group of a translation furnished for the purposes of international search (under Rule 23.1(b)). In the group of publication of the international application (under Rule 48.3(b)). In the group of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/3).	
3.	With preli	n regard minary e	to any nucleotide and/or amino acid sequence disclosed in the international application, the international examination was carried out on the basis of the sequence listing:	
	H		ned in the international application in written form. ogether with the international application in computer readable form.	
	H		ogether with the international application in computer readable form. the subsequently to this Authority in written form.	
	H		hed subsequently to this Authority in computer readable form.	
		The st	statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the ational application as filed has been furnished.	
		The st been fi	tatement that the information recorded in computer readable form is identical to the written sequence listing has furnished.	
4.			the drawings, sheets/fig	
5.		This rep	port has been established as if (some of) the amendments had not been made, since they have been considered to go I the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**	
	in thi	acement . is report 70.17).	sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to the "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16	
		,	nent sheet containing such amendments must be referred to under item 1 and annexed to this report.	
			·	

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Statement			
Novelty (N)	Claims	1-17	YES
	Claims		NO
Inventive step (IS)	Claims	15, 16	YES
	Claims	1-14, 17	NO
Industrial applicability (IA)	Claims	1-17	YES
	Claims		NO

2. Citations and explanations

Document 1: Isao Shimamoto, Takuji Sasaki, "Saibou
Kougaku Bessatsu, Shokubutsu Saibou Kougaku
Series 7: Shinpan Shokubutsu no PCR Jikken
Protocol; Kakusan no Tanrihou to Genome
Idenshi Hatsugen no Saishin Kaisekihou",
Kabushiki Kaisha Shujunsha, 01 July, 1997,
the 1st printing and issue, pp. 182 to 189;
publisher's inscription at the end of the
book; page 184, lines 22 to 30,, column
"Kigu•Souchi", "Mini Gel Denki Eidou Souchi
(Advance; Mupid-2)";

Page 186, Fig. 2 shows a gel plate that has used Applied Biosystems 373A DNA sequencers.

Document 2: JP, 09-043196, A (Japan as represented by the Director General of Agriculture, Forestry and Fisheries National Institute of Agrobiological Resources), February 14, 1997 (14.02.97), Fig. 1, (Family: none)

Document 3: JP, 08-166370, A (Norifumi Hirota), June 25, 1996 (25.06.96), [0010]; Fig. 2, (Family: none)

Document 4: US, 5051162, A (Hitachi, Ltd.), September 24, 1991 (24.09.91), Fig. 1 & JP, 08-251988, A & DE, 4011779, A

Column 4, lines 51 to 61 indicate that the DNA of 30 samples can be analysed using 300 mm X 200 mm of gel.

Document 5: Electrophoresis, 14(7), pp. 566 to 569 (1993) Document 5 discloses the feature of carrying out argentation on the DNA band and of detecting the polymorphism of the gene using a discontinuous buffer in polyacrylamide gel electrophoresis.

Document 6: Electrophoresis, 16(3), pp. 345 to 349 (1995) Document 6 indicates that in a discontinuous buffer system the width of the DNA band becomes smaller and the resolution increases.

Document 7: Applied and Environmental Microbiology, 62(8), pp. 2947 to 2951 (1996)

Page 2949, right column, lines 28 to 43 and Fig. 2 indicate that by using a gel containing a modifier that is formamde, the resolution of the band can be increased.

Document 8: Electrophoresis, 20(6), pp. 1177 to 1185, (June 1999)

Document 8 discloses a heteroduplex method used in the analysis of polymorphism (See the abstract section).

(1) Claim 1

When comparing the invention disclosed in Claim 1 with the invention disclosed in Document 4, the invention disclosed in Claim 1 is able to carry out the electrophoresis of 32 samples or more simultaneously on a single sheet of a gel plate, whereas the invention disclosed in Document 4 is able to carry out the

electrophoresis of 30 samples simultaneously on a single sheet of a gel plate and in this sense the inventions differ.

However, a person skilled in the art would be able to determine the number of samples appropriately by altering the width and the intervals of the lanes as a matter of design.

Therefore, the invention disclosed in Claim 1 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(2) Claim 2

In addition to the reasoning given in section (1) above, an electrophoresis method for nucleic acid using a discontinuous buffer-type gel is a known method, as described in Documents 5 and 6.

Therefore, the invention disclosed in Claim 2 could be easily derived from the invention of Document 4 and this known method and thus, does not involve an inventive step.

(3) Claim 3

In addition to the reasoning given in section (1) above, the feature of analysing a nucleic acid sample by making it into a single strand using a modifying process is an analysing method which was sufficiently common practice prior to the present application so that there is no need to give examples.

Therefore, the invention disclosed in Claim 3 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(4) Claim 4

In addition to the reasoning given in section (1) above, the feature of carrying out argentation or fluorochroming on a nucleic acid band is merely a method

of chromatography for which there is no need to give examples.

Therefore, the invention disclosed in Claim 4 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(5) Claim 5

In addition to the reasoning given in section (1) above, the feature of using electrophoresis in genome polymorphism is common practice.

Therefore, the invention disclosed in Claim 5 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(6) Claim 6

In addition to the reasoning given in section (3) above, Document 7 discloses the use of a modifying gel in polymorphic analysis.

Therefore, the invention disclosed in Claim 6 could be easily derived from the inventions of Document 4 and Document 7 and thus, does not involve an inventive step.

(7) Claim 7

In addition to the reasoning given in section (5) above, polymorphic analysis using the AFLP method was known prior to the present application, as described in Document 1.

Therefore, the invention disclosed in Claim 7 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(8) Claim 8

In addition to the reasoning given in section (5) above, polymorphic analysis using heteroduplex DNA was known prior to the present application, as described in

Document 8.

Therefore, the invention disclosed in Claim 8 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(9) Claim 9

In addition to the reasoning given in section (5) above, in polymorphic analysis isolating a DNA fragment that shows polymorphism from a gel and further analysing it, is sufficiently common practice in this field that there is no need to give examples.

Therefore, the invention disclosed in Claim 9 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(10) Claim 10

For the same reason as that given in section (9) above, Claim 10 does not involve an inventive step.

Claim 10 uses the phrase "isolated using the method disclosed in Claim 9" to specify the DNA showing polymorphism. However, just because the DNA showing polymorphism was obtained using the method of Claim 9 does not give it particular qualities, structures or properties in comparison with DNA showing polymorphism which is obtained using any other method.

(11) Claim 11

In addition to the reasoning given in section (5) above, carrying out gene analysis using the electrophoresis of a nucleic acid is sufficiently common practice that there is no need to give examples.

Therefore, the invention disclosed in Claim 11 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(12) Claim 12

In addition to the reasoning given in section (11) above, F2, RI and QTL are commonly the object of gene analysis.

Therefore, the invention disclosed in Claim 12 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(13) Claims 13 and 14

In addition to the reasoning given in section (5) above, the fact that the polymorphism of DNA is an effective marker is sufficiently well known so that there is no need to give examples and various DNA maps have been prepared.

Therefore, the invention disclosed in Claim 13 and 14 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(14) Claims 15 and 16

The feature wherein numbers are given to the plate of a sub-library as three-dimensional co-ordinates and the clone is selected from the sub-library is not disclosed in any of the above-mentioned documents. Therefore, the invention disclosed in Claim 15 and the invention disclosed in the dependent claim of Claim 15 involve an inventive step.

(15) Claim 17

Claim 17 does not involve an inventive step for the same reasoning given in section (1) above.

_	From the INTERNATIONAL BUREA	۸U
PCT	То:	
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 25 June 2001 (25.06.01)	SHIMIZU, Hatsushi Kantetsu Tsukuba Bldg. 6F 1-1-1, Oroshi-machi Tsuchiura-shi, Ibaraki 300-0847 JAPON	
<u> </u>		
Applicant's or agent's file reference MOA-104PCT	IMPORTANT NOTIFICA	TION
International application No. PCT/JP00/06512	International filing date (day/month/year) 22 September 2000 (22.09.00)	
1. The following indications appeared on record concerning:		N.I.
X the applicant the inventor	the agent the common repr	esentative
Name and Address	i ' I	te of Residence
JAPAN as represented by DIRECTOR GENERAL OF MINISTRY OF	JP	JP
AGRICULTURE, FORESTRY AND FISHERIES NATIONAL INSTITUTE OF		
AGROBIOLOGICAL RESOURCES 2-1-2, Kannondai Teukuba abi Ibaraki 205 8602	Facsimile No.	
Tsukuba-shi, Ibaraki 305-8602 Japan	Teleprinter No.	
	'	
2. The International Bureau hereby notifies the applicant that the		ning:
X the person the name the add	dress the nationality th	ne residence
Name and Address		e of Residence
NATIONAL INSTITUTE OF AGROBIOLOGICAL SCIENCES	JP Telephone No.	JP
2-1-2, Kannondai Tsukuba-shi	Total Control Control	
Ibaraki 305-8602 Japan	Facsimile No.	
	Teleprinter No.	
	1335	
3. Further observations, if necessary:	-	
4. A copy of this notification has been sent to:		
X the receiving Office	the designated Offices concern	ned
the International Searching Authority	X the elected Offices concerned	
X the International Preliminary Examining Authority	other:	
	Authorized officer	
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Susumu Kubo	
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38	
	,	

	From the INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 25 June 2001 (25.06.01)	SHIMIZU, Hatsushi Kantetsu Tsukuba Bldg. 6F 1-1-1, Oroshi-machi Tsuchiura-shi, Ibaraki 300-0847 JAPON
Applicant's or agent's file reference MOA-104PCT	IMPORTANT NOTIFICATION
International application No. PCT/JP00/06512	International filing date (day/month/year) 22 September 2000 (22.09.00)
1. The following indications appeared on record concerning: X the applicant X the inventor	the agent the common representative
Name and Address 1) KAWASAKI, Shinji 2) KOMATSUDA, Takao c/o Ministry of Agriculture, Forestry and Fisheries National Institute of Agrobiological Resources 2-1-2, Kannondai Tsukuba-shi, Ibaraki 305-8602 Japan	State of Nationality JP Telephone No. Facsimile No. Teleprinter No.
2. The International Bureau hereby notifies the applicant that t	he following change has been recorded concerning:
the person the name X the add	
Name and Address 1) KAWASAKI, Shinji 2) KOMATSUDA, Takao c/o National Institute of Agrobiological Sciences 2-1-2, Kannondai Tsukuba-shi Ibaraki 305-8602 Japan	State of Nationality JP Telephone No. Facsimile No. Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to: X the receiving Office the International Searching Authority X the International Preliminary Examining Authority	the designated Offices concerned X the elected Offices concerned other:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Susumu Kubo
acsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

DOT	From the INTERNATIONAL BUREAU
РСТ	То:
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year)	SHIMIZU, Hatsushi Kantetsu Tsukuba Bldg. 6F 1-1-1, Oroshi-machi Tsuchiura-shi, Ibaraki 300-0847 JAPON
25 June 2001 (25.06.01)	
Applicant's or agent's file reference MOA-104PCT	IMPORTANT NOTIFICATION
International application No. PCT/JP00/06512	International filing date (day/month/year) 22 September 2000 (22.09.00)
The following indications appeared on record concerning: X the applicant X the inventor	the agent the common representative
Name and Address MANO, Yoshiro c/o Ministry of Agriculture, Forestry and Fisheries National Grassland Research Institute 768, Senbonmatsu, Nishinasuno Nasu-gun, Tochigi 329-2793	State of Nationality JP Telephone No. Facsimile No.
Japan 2. The International Bureau hereby notifies the applicant that	Teleprinter No. t the following change has been recorded concerning:
the person the name X the ac	ddress the nationality the residence
Name and Address MANO, Yoshiro c/o National Institute of Livestock and Grassland Science, National Aglicultural Research Organization 768, Senbonmatsu, Nishinasuno	State of Nationality JP Telephone No. Facsimile No.
Nasu-gun, Tochigi 329-2793 Japan	Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
 X the receiving Office the International Searching Authority X the International Preliminary Examining Authority 	the designated Offices concerned X the elected Offices concerned other:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Susumu Kubo
acsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

.a PCT

NOTIFICATION OF TRANSMITTAL OF COPIES OF TRANSLATION OF THE INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

(PCT Rule 72.2)

From the INTERNATIONAL BUREAU

To:

SHIMIZU, Hatsushi Kantetsu Tsukuba Bldg. 6F 1-1-1, Oroshi-machi Tsuchiura-shi, Ibaraki 300-0847

JAPON

RECEIVED WITH THANKS 12. O 7 200L SHIMIZU PATENT

OFFICE

Date of mailing (day/month/year) 19 November 2001 (19.11.01) Applicant's or agent's file reference IMPORTANT NOTIFICATION International filing date (day/month/year) 22 September 2000 (22.09.00)

Applicant

MOA-104PCT

International application No. PCT/JP00/06512

NATIONAL INSTITUTE OF AGROBIOLOGICAL SCIENCES et al.

1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

2. Transmittal of the copy of the translation to the elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

ČA, CN, US

The following elected Offices, having waived the requirement for such a transmittal at this time. will receive copies of that translation from the International Bureau only upon their request:

JP

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elect d Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34. chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Eliott PERETTI

Facsimile No. (41-22) 740.14.35

Telephone No. (41-22) 338.83.38

Translation

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference MOA-104PCT	FOR FURTHER ACTION		tionofTransmittalofInternational Preliminary n Report (Form PCT/IPEA/416)
International application No.	International filing date (day/	month/year)	Priority date (day/month/year)
PCT/JP00/06512	22 September 2000 (2	2.09.00)	24 September 1999 (24.09.99)
International Patent Classification (IPC) or r G01N 27/447	national classification and IPC	·.	
Applicant NATIONAL	INSTITUTE OF AGROB	IOLOGICA	L SCIENCES
This international preliminary exam and is transmitted to the applicant at This REPORT consists of a total of	ccording to Article 36.		national Preliminary Examining Authority
been amended and are the ba Rule 70.16 and Section 607	sis for this report and/or sheets of the Administrative Instruction	containing re	ription, claims and/or drawings which have ctifications made before this Authority (see CT).
These annexes consist of a to	otal of sheets.	•	
3. This report contains indications rela	ting to the following items:		
Basis of the report			
II Priority			
Non agrabilishment	of opinion with regard to novelt	v inventive st	en and industrial annicability
		y, ilivelitive 3	and modstrial approachity
Lack of unity of inv			
V Reasoned statement citations and explan	under Article 35(2) with regard ations supporting such statemen	l to novelty, ir it	eventive step or industrial applicability;
VI Certain documents of	eited		
Certain defeats in th	e international application		
Contain abasemeticas		_	
VIII Certain observations	s on the international applicatio	n	
Date of submission of the demand	Date o	f completion	of this report
23 February 2001 (23.0)2.01)	03	July 2001 (03.07.2001)
Name and mailing address of the IPEA/JP	Autho	rized officer	
Facsimile No.	Teleph	one No.	

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

national application No.

PCT/JP00/06512

l. Bas	s of the report
1. Wit	h regard to the elements of the international application:*
\boxtimes	the international application as originally filed
	the description:
	pages, as originally filed
	pages, filed with the demand
	pages, filed with the letter of
	the claims:
	pages, as originally filed
	pages, as amended (together with any statement under Article 19
	pages, filed with the demand
	pages, filed with the letter of
	the drawings:
	pages, as originally filed
	pages, filed with the demand
	pages, filed with the letter of
	the sequence listing part of the description:
	pages, as originally filed
	pages, filed with the demand
	pages, filed with the letter of
the	the regard to the language, all the elements marked above were available or furnished to this Authority in the language in which international application was filed, unless otherwise indicated under this item. se elements were available or furnished to this Authority in the following language which is: the language of a translation furnished for the purposes of international search (under Rule 23.1(b)). the language of publication of the international application (under Rule 48.3(b)). the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/ or 55.3).
3. Wit prel	h regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international iminary examination was carried out on the basis of the sequence listing:
H	contained in the international application in written form.
H	filed together with the international application in computer readable form. furnished subsequently to this Authority in written form.
H	furnished subsequently to this Authority in computer readable form.
H	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the
	international application as filed has been furnished.
	The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.
i. 🔲	The amendments have resulted in the cancellation of:
	the description, pages
	the claims, Nos.
	the drawings, sheets/fig
i. 🗀	This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**
in th	acement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to is report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 to 17).
	eplacement sheet containing such amendments must be referred to under item 1 and annexed to this report.
	·

Statement		•	
Novelty (N)	Claims	1-17	YES
	Claims		NO
Inventive step (IS)	Claims	15, 16	YES
	Claims	1-14, 17	NO
Industrial applicability (IA)	Claims	1-17	YES
	Claims		NO

2. Citations and explanations

Document 1: Isao Shimamoto, Takuji Sasaki, "Saibou
Kougaku Bessatsu, Shokubutsu Saibou Kougaku
Series 7: Shinpan Shokubutsu no PCR Jikken
Protocol; Kakusan no Tanrihou to Genome
Idenshi Hatsugen no Saishin Kaisekihou",
Kabushiki Kaisha Shujunsha, 01 July, 1997,
the 1st printing and issue, pp. 182 to 189;
publisher's inscription at the end of the
book; page 184, lines 22 to 30,, column
"Kigu•Souchi", "Mini Gel Denki Eidou Souchi
(Advance; Mupid-2)";

Page 186, Fig. 2 shows a gel plate that has used Applied Biosystems 373A DNA sequencers.

Document 2: JP, 09-043196, A (Japan as represented by the Director General of Agriculture, Forestry and Fisheries National Institute of Agrobiological Resources), February 14, 1997 (14.02.97), Fig. 1, (Family: none)

Document 3: JP, 08-166370, A (Norifumi Hirota), June 25, 1996 (25.06.96), [0010]; Fig. 2, (Family: none)

Document 4: US, 5051162, A (Hitachi, Ltd.), September 24, 1991 (24.09.91), Fig. 1 & JP, 08-251988, A & DE, 4011779, A

Column 4, lines 51 to 61 indicate that the DNA of 30 samples can be analysed using 300 mm X 200 mm of gel.

Document 5: Electrophoresis, 14(7), pp. 566 to 569 (1993) Document 5 discloses the feature of carrying out argentation on the DNA band and of detecting the polymorphism of the gene using a discontinuous buffer in polyacrylamide gel electrophoresis.

Document 6: Electrophoresis, 16(3), pp. 345 to 349 (1995) Document 6 indicates that in a discontinuous buffer system the width of the DNA band becomes smaller and the resolution increases.

Document 7: Applied and Environmental Microbiology, 62(8), pp. 2947 to 2951 (1996)

Page 2949, right column, lines 28 to 43 and Fig. 2 indicate that by using a gel containing a modifier that is formamde, the resolution of the band can be increased.

Electrophoresis, 20(6), pp. 1177 to 1185, Document 8: (June 1999)

Document 8 discloses a heteroduplex method used in the analysis of polymorphism (See the abstract section).

(1) Claim 1

When comparing the invention disclosed in Claim 1 with the invention disclosed in Document 4, the invention disclosed in Claim 1 is able to carry out the electrophoresis of 32 samples or more simultaneously on a single sheet of a gel plate, whereas the invention disclosed in Document 4 is able to carry out the

electrophoresis of 30 samples simultaneously on a single sheet of a gel plate and in this sense the inventions differ.

However, a person skilled in the art would be able to determine the number of samples appropriately by altering the width and the intervals of the lanes as a matter of design.

Therefore, the invention disclosed in Claim 1 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(2) Claim 2

In addition to the reasoning given in section (1) above, an electrophoresis method for nucleic acid using a discontinuous buffer-type gel is a known method, as described in Documents 5 and 6.

Therefore, the invention disclosed in Claim 2 could be easily derived from the invention of Document 4 and this known method and thus, does not involve an inventive step.

(3) Claim 3

In addition to the reasoning given in section (1) above, the feature of analysing a nucleic acid sample by making it into a single strand using a modifying process is an analysing method which was sufficiently common practice prior to the present application so that there is no need to give examples.

Therefore, the invention disclosed in Claim 3 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(4) Claim 4

In addition to the reasoning given in section (1) above, the feature of carrying out argentation or fluorochroming on a nucleic acid band is merely a method of chromatography for which there is no need to give examples.

Therefore, the invention disclosed in Claim 4 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(5) Claim 5

In addition to the reasoning given in section (1) above, the feature of using electrophoresis in genome polymorphism is common practice.

Therefore, the invention disclosed in Claim 5 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(6) Claim 6

In addition to the reasoning given in section (3) above, Document 7 discloses the use of a modifying gel in polymorphic analysis.

Therefore, the invention disclosed in Claim 6 could be easily derived from the inventions of Document 4 and Document 7 and thus, does not involve an inventive step.

(7) Claim 7

In addition to the reasoning given in section (5) above, polymorphic analysis using the AFLP method was known prior to the present application, as described in Document 1.

Therefore, the invention disclosed in Claim 7 could be easily derived from the invention of Document 4 and thus. does not involve an inventive step.

(8) Claim 8

In addition to the reasoning given in section (5) above, polymorphic analysis using heteroduplex DNA was known prior to the present application, as described in Document 8.

Therefore, the invention disclosed in Claim 8 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(9) Claim 9

In addition to the reasoning given in section (5) above, in polymorphic analysis isolating a DNA fragment that shows polymorphism from a gel and further analysing it, is sufficiently common practice in this field that there is no need to give examples.

Therefore, the invention disclosed in Claim 9 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(10) Claim 10

For the same reason as that given in section (9) above, Claim 10 does not involve an inventive step.

Claim 10 uses the phrase "isolated using the method disclosed in Claim 9" to specify the DNA showing polymorphism. However, just because the DNA showing polymorphism was obtained using the method of Claim 9 does not give it particular qualities, structures or properties in comparison with DNA showing polymorphism which is obtained using any other method.

(11) Claim 11

In addition to the reasoning given in section (5) above, carrying out gene analysis using the electrophoresis of a nucleic acid is sufficiently common practice that there is no need to give examples.

Therefore, the invention disclosed in Claim 11 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(12) Claim 12

In addition to the reasoning given in section (11) above, F2, RI and QTL are commonly the object of gene analysis.

Therefore, the invention disclosed in Claim 12 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(13) Claims 13 and 14

In addition to the reasoning given in section (5) above, the fact that the polymorphism of DNA is an effective marker is sufficiently well known so that there is no need to give examples and various DNA maps have been prepared.

Therefore, the invention disclosed in Claim 13 and 14 could be easily derived from the invention of Document 4 and thus, does not involve an inventive step.

(14) Claims 15 and 16

The feature wherein numbers are given to the plate of a sub-library as three-dimensional co-ordinates and the clone is selected from the sub-library is not disclosed in any of the above-mentioned documents. Therefore, the invention disclosed in Claim 15 and the invention disclosed in the dependent claim of Claim 15 involve an inventive step.

(15) Claim 17

Claim 17 does not involve an inventive step for the same reasoning given in section (1) above.